

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :	Dreyer et al.	)	Examiner:
		)	To Be Assigned
Serial No. :	Continuation of 10/290,430	)	
		)	Art Unit:
Cnfrm. No. :	To Be Assigned	)	To Be Assigned
		)	
Filed :	Herewith	)	
		)	
For :	CONTAINMENT/EXCLUSION BARRIER	)	
	SYSTEM WITH INFUSER ADAPTATION TO	)	
	WATER INTAKE SYSTEM	)	
		)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97-1.98

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

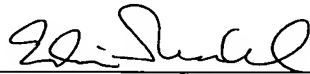
Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.98(d), the references identified on the attached PTO-1449 form are not provided, because these references either were previously cited by or submitted to the PTO in parent U.S. Patent Application Serial No. 10/290,430, filed November 7, 2002, of which this application claims priority under 35 USC § 120.

Respectfully submitted,

Date: December 8, 2003

  
Edwin V. Merkel  
Registration No. 40,087

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603  
Telephone: (585) 263-1128  
Facsimile: (585) 263-1600

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets if necessary)  (PTO-1449 )	ATTY. DOCKET NO.  35856/102	SERIAL NO.  Continuation of 10/290,430
	APPLICANT  Dreyer et al.	
	FILING DATE  Herewith	GROUP ART UNIT  To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	3,659,713	05/22/72	Mueller			
	2	3,713,540	01/30/73	Davidson et al.			
	3	3,979,289	09/07/76	Bykowski et al.			
	4	4,178,245	12/11/79	Nakaoka et al.			
	5	4,219,423	08/26/80	Smith, Jr.			
	6	4,288,321	09/08/81	Beane			
	7	4,296,884	10/27/81	Luebke			
	8	4,419,232	12/06/83	Arntyr et al.			
	9	4,582,048	04/15/86	Sorensen			
	10	4,669,972	06/02/87	Koblanski			
	11	4,692,059	09/08/87	Juutilainen			
	12	4,749,479	06/07/88	Gray			
	13	4,880,333	11/14/89	Glasser et al.			
	14	4,919,820	04/24/90	Lafay et al.			
	15	5,102,261	04/17/92	Gunderson, III			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
	16	WO 99/19570	04/22/99	WIPO			
	17	GB 2 246 981 A	02/19/92	United Kingdom			
	18	WO 91/07546	05/30/91	WIPO			
	19	FR 2579-239-A	03/22/85	France			abstract

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use several sheets if necessary)  (PTO-1449 )	<b>ATTY. DOCKET NO.</b>  35856/102	<b>SERIAL NO.</b>  Continuation of 10/290,430
	<b>APPLICANT</b>  Dreyer et al.	
	<b>FILING DATE</b>  Herewith	<b>GROUP ART UNIT</b>  To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	20	5,197,821	03/30/93	Cain et al.			
	21	5,220,958	06/22/93	Bernhardt			
	22	5,225,622	07/06/93	Gettle et al.			
	23	5,322,629	06/21/94	Stewart			
	24	5,345,741	09/13/94	Slater et al.			
	25	5,354,456	10/11/94	Montgomery et al.			
	26	5,354,459	10/11/94	Smith			
	27	5,372,711	12/13/94	Sill			
	28	5,394,786	03/07/95	Gettle et al.			
	29	5,427,679	06/27/95	Daniels			
	30	5,558,462	09/24/96	O'Haver			
	31	Re. 36,297	09/14/99	Heino et al.			
	32	5,992,104	11/30/99	Hudak			
	33	2002/0080681	06/27/02	Dreyer et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
	34	FR 2195-238	08/03/72	France			abstract
	35	FR 2284-709	09/12/74	France			abstract
	36	FR 2329-808	11/03/75	France			abstract

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets if necessary)  (PTO-1449 )	ATTY. DOCKET NO. 35856/102	SERIAL NO. Continuation of 10/290,430
	APPLICANT Dreyer et al.	
	FILING DATE Herewith	GROUP ART UNIT To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	37	629,578	07/25/1899	Maginn			
	38	861,028	07/23/1907	Grote			
	39	3,744,254	07/10/1973	Fennelly			
	40	4,335,977	06/22/1982	Ihli			
	41	4,738,563	04/19/1988	Clark			
	42	6,346,193 B1	02/12/2002	Bauer			
	43	3,996,138	12/07/1976	Daidola et al.			
	44	4,201,495	05/06/1980	Preus			
	45	5,552,922	09/10/1996	Bergeron et al.			
	46	4,664,772	05/12/1987	Fors et al.			
	47	5,893,978	04/13/1999	Yoda et al.			
	48	2002/0185449	12/2002	Turner et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets if necessary)  (PTO-1449)	ATTY. DOCKET NO.	SERIAL NO.
	35856/102	Continuation of 10/290,430
	APPLICANT	
	Dreyer et al.	
	FILING DATE	GROUP ART UNIT
	Herewith	To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	3,659,713	05/22/72	Mueller			
	2	3,713,540	01/30/73	Davidson et al.			
	3	3,979,289	09/07/76	Bykowski et al.			
	4	4,178,245	12/11/79	Nakaoka et al.			
	5	4,219,423	08/26/80	Smith, Jr.			
	6	4,288,321	09/08/81	Beane			
	7	4,296,884	10/27/81	Luebke			
	8	4,419,232	12/06/83	Arntyr et al.			
	9	4,582,048	04/15/86	Sorensen			
	10	4,669,972	06/02/87	Koblanski			
	11	4,692,059	09/08/87	Juutilainen			
	12	4,749,479	06/07/88	Gray			
	13	4,880,333	11/14/89	Glasser et al.			
	14	4,919,820	04/24/90	Lafay et al.			
	15	5,102,261	04/17/92	Gunderson, III			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRAN- SLATION IF APPRO- PRIATE
	16	WO 99/19570	04/22/99	WIPO			
	17	GB 2 246 981 A	02/19/92	United Kingdom			
	18	WO 91/07546	05/30/91	WIPO			
	19	FR 2579-239-A	03/22/85	France			abstract

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets if necessary)  (PTO-1449)	ATTY. DOCKET NO. 35856/102	SERIAL NO. Continuation of 10/290,430
	APPLICANT Dreyer et al.	
	FILING DATE Herewith	GROUP ART UNIT To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	20	5,197,821	03/30/93	Cain et al.			
	21	5,220,958	06/22/93	Bernhardt			
	22	5,225,622	07/06/93	Gettle et al.			
	23	5,322,629	06/21/94	Stewart			
	24	5,345,741	09/13/94	Slater et al.			
	25	5,354,456	10/11/94	Montgomery et al.			
	26	5,354,459	10/11/94	Smith			
	27	5,372,711	12/13/94	Sill			
	28	5,394,786	03/07/95	Gettle et al.			
	29	5,427,679	06/27/95	Daniels			
	30	5,558,462	09/24/96	O'Haver			
	31	Re. 36,297	09/14/99	Heino et al.			
	32	5,992,104	11/30/99	Hudak			
	33	2002/0080681	06/27/02	Dreyer et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
	34	FR 2195-238	08/03/72	France			abstract
	35	FR 2284-709	09/12/74	France			abstract
	36	FR 2329-808	11/03/75	France			abstract

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use several sheets if necessary)  (PTO-1449 )	ATTY. DOCKET NO. 35856/102	SERIAL NO. Continuation of 10/290,430
	APPLICANT Dreyer et al.	
	FILING DATE Herewith	GROUP ART UNIT To Be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	37	629,578	07/25/1899	Maginn			
	38	861,028	07/23/1907	Grote			
	39	3,744,254	07/10/1973	Fennelly			
	40	4,335,977	06/22/1982	Ihli			
	41	4,738,563	04/19/1988	Clark			
	42	6,346,193 B1	02/12/2002	Bauer			
	43	3,996,138	12/07/1976	Daidola et al.			
	44	4,201,495	05/06/1980	Preus			
	45	5,552,922	09/10/1996	Bergeron et al.			
	46	4,664,772	05/12/1987	Fors et al.			
	47	5,893,978	04/13/1999	Yoda et al.			
	48	2002/0185449	12/2002	Turner et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **35856/102**  
APPLICANTS: **Harold B. Dreyer and Todd Nottingham**  
TITLE: **CONTAINMENT/EXCLUSION BARRIER SYSTEM WITH  
INFUSER ADAPTATION TO WATER INTAKE SYSTEM**

Certificate is attached to the **Information Disclosure Statement (1 page) and PTO-1449 Form (3 pages) (in duplicate) with 48 references (cited but not attached)** of the above-named application.

EXPRESS MAIL NUMBER: **EL983812225US**  
DATE OF DEPOSIT: **December 8, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

Edwin V. Merkel  
(Typed or printed name of person mailing  
paper or fee)

  
(Signature of person mailing paper or fee)